

# Lax Solution Part 3

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October 27, 2016

Textbook: Functional Analysis by Peter D. Lax

Exercises: Ch 13: Q1–4. Ch 15: Q2–4, 6, 7, 11.

## 1 Chapter 13

### 1.1 Exercise 1

#### Weak Topology is Hausdorff:

Let  $x, y$  be distinct points in  $X$ . Since  $\|x - y\| > 0$ , there exists  $\epsilon > 0$  such that  $B_\epsilon(x)$  does not contain  $y$ . Since  $B_\epsilon(x)$  is convex and open, we can use the Hyperplane Separation Theorem to conclude that there exists  $l \in X'$ ,  $c \in \mathbb{R}$  such that

$$\begin{aligned}l(u) &< c \text{ for all } u \text{ in } B_\epsilon(x) \\l(y) &= c\end{aligned}$$

In particular, there exists  $\delta > 0$  such that  $l(x) < c - \delta < c = l(y)$ .

$$\begin{aligned}x &\in \{u \in X : -\infty < l(u) < c - \delta\} \\y &\in \{u \in X : c - \delta < l(u) < \infty\}\end{aligned}$$

The above two sets are open in the weak topology, and are clearly disjoint. Thus the weak topology is Hausdorff.

#### (i) Addition is continuous:

Consider  $f : X \times X \rightarrow X$ ,  $f(x, y) = x + y$ . Let  $\{x : a < l(x) < b\} = l^{-1}(a, b)$  be open in  $X$ . ( $l^{-1}$  is preimage of  $l$ ). Since  $\{l^{-1}(a, b)\}$  is a subbasis for  $X$ ,

it suffices to check that  $f^{-1}(l^{-1}(a, b))$  is open in  $X \times X$ .

$$\begin{aligned}
f^{-1}(l^{-1}(a, b)) &= \{(x, y) \in X \times X \mid f(x, y) = x + y \in l^{-1}(a, b)\} \\
&= \{(x, y) \mid a < l(x + y) < b\} \\
&= \{(x, y) \mid a < l(x) + l(y) < b\} \\
&= \{(x, y) \mid a - l(y) < l(x) < b - l(y), a - l(x) < l(y) < b - l(x)\} \\
&= \bigcup_i (U_i \times V_i)
\end{aligned}$$

where

$$\begin{aligned}
U_i &= \{x \in X \mid m_i < l(x) < M_i\} \\
V_i &= \{y \in X \mid m'_i < l(y) < M'_i\},
\end{aligned}$$

such that  $a \leq m_i + m'_i < l(x) + l(y) < M_i + M'_i \leq b$  for  $x \in U_i$ ,  $y \in V_i$ . Each  $U_i$ ,  $V_i$  is open in  $X$ , thus  $U_i \times V_i$  is open in  $X \times X$ . Therefore  $f$  is continuous.

### Multiplication by scalars is continuous:

Consider  $g : \mathbb{R} \times X \rightarrow X$ ,  $g(k, x) = kx$ .

$$\begin{aligned}
g^{-1}(l^{-1}(a, b)) &= \{(k, x) \in \mathbb{R} \times X \mid g(k, x) = kx \in l^{-1}(a, b)\} \\
&= \{(k, x) \mid a < l(kx) < b\} \\
&= \{(k, x) \mid a < kl(x) < b\} \\
&= \{(k, x) \mid \frac{a}{|k|} < \text{sgn}(k)l(x) < \frac{b}{|k|}, \frac{a}{|l(x)|} < k \cdot \text{sgn}(l(x)) < \frac{b}{|l(x)|}\} \\
&= \bigcup_i (U_i \times V_i)
\end{aligned}$$

where

$$\begin{aligned}
U_i &= \{k \in \mathbb{R} \mid m_i < k < M_i\} \\
V_i &= \{x \in X \mid m'_i < l(x) < M'_i\}
\end{aligned}$$

where  $m_i, M_i, m'_i, M'_i \in \mathbb{R} \cup \{-\infty, \infty\}$  such that  $a < kl(x) < b$  for  $k \in U_i$ ,  $x \in V_i$ .

Each  $U_i$  is open in  $\mathbb{R}$ , each  $V_i$  is open in  $X$ , thus  $U_i \times V_i$  is open in  $\mathbb{R} \times X$ . Therefore  $g$  is continuous.

(iii)

Let  $U$  be an open set containing the origin.  $U$  is the union of finite intersections of sets of the form  $\{x : a < l(x) < b\}$ . 0 is in one of the finite intersections, say

$$0 \in \bigcap_{i=1}^k \{x : a_i < l_i(x) < b_i\} \subseteq U.$$

$C := \bigcap_{i=1}^k \{x : a_i < l_i(x) < b_i\}$  is a convex open set containing the origin: For any  $x, y \in C$ ,  $0 \leq t \leq 1$ ,  $l_i(tx + (1-t)y) = tl_i(x) + (1-t)l_i(y) < tb_i + (1-t)b_i = b_i$ . Similarly  $l_i(tx + (1-t)y) > a_i$ . This holds for all  $1 \leq i \leq k$ . So  $tx + (1-t)y \in C$ .

### Weak\* Topology is Hausdorff:

Let  $l_1, l_2$  be distinct in  $X'$ . There exists  $x \in X$  such that  $l_1(x) \neq l_2(x)$ . WLOG suppose  $l_1(x) < l_2(x)$ .

There exists  $r \in \mathbb{R}$  such that  $l_1(x) < r < l_2(x)$ . The sets  $U = \{l \in X' : l(x) < r\}$  and  $V = \{l \in X' : l(x) > r\}$  are weak\*-open:  $U$  is open since it is the preimage  $T_x^{-1}\{l(x) : l(x) < r\}$ , where  $T_x(l) = l(x)$ ,  $T_x \in X''$ . Similarly  $V$  is open.

Clearly,  $U$  and  $V$  are disjoint and contain  $l_1$  and  $l_2$  respectively.

### (i) Addition is continuous:

Consider  $f : X' \times X' \rightarrow X'$ ,  $f(l_1 + l_2) = l_1 + l_2$ . Let  $T_x^{-1}(a, b)$  be open in  $X'$ , where  $T_x \in X''$  and  $T_x(l) = l(x)$ .

$$\begin{aligned} f^{-1}(T_x^{-1}(a, b)) &= \{(l_1, l_2) \in X' \times X' \mid l_1 + l_2 \in T_x^{-1}(a, b)\} \\ &= \{(l_1, l_2) \mid a < T_x(l_1) + T_x(l_2) < b\} \\ &= \{(l_1, l_2) \mid a < l_1(x) + l_2(x) < b\} \\ &= \bigcup_i (U_i \times V_i) \end{aligned}$$

where

$$\begin{aligned} U_i &= \{l_1 \in X' \mid m_i < l_1(x) < M_i\} = T_x^{-1}(m_i, M_i) \\ V_i &= \{l_2 \in X' \mid m'_i < l_2(x) < M'_i\} = T_x^{-1}(m'_i, M'_i) \end{aligned}$$

such that  $a \leq m_i + m'_i < l_1(x) + l_2(x) < M_i + M'_i \leq b$  for all  $l_1 \in U_i, l_2 \in V_i$ .  $\bigcup_i (U_i \times V_i)$  is open in  $X' \times X'$ , thus  $f$  is continuous.

**(ii) Multiplication is continuous:**

Consider  $g : \mathbb{R} \times X' \rightarrow X'$ ,  $g(k, l) = kl$ .

$$\begin{aligned} g^{-1}(T_x^{-1}(a, b)) &= \{(k, l) \in \mathbb{R} \times X' \mid kl \in T_x^{-1}(a, b)\} \\ &= \{(k, l) \mid a < kT_x(l) < b\} \\ &= \{(k, l) \mid a < kl(x) < b\} \\ &= \bigcup_i (U_i \times V_i) \end{aligned}$$

where

$$\begin{aligned} U_i &= \{k \in \mathbb{R} \mid m_i < k < M_i\} = (m_i, M_i) \\ V_i &= \{l \in X' \mid m'_i < l(x) < M'_i\} = T_x^{-1}(m'_i, M'_i) \end{aligned}$$

such that  $a < kl(x) < b$  for all  $k \in U_i, l \in V_i$ .  $\bigcup_i (U_i \times V_i)$  is open in  $\mathbb{R} \times X'$ , thus  $g$  is continuous.

**(iii)**

Let  $U$  be an open set containing the origin.  $U$  is the union of finite intersections of sets of the form  $T_x^{-1}(a, b)$ . 0 is in one of the finite intersections, say  $0 \in \bigcap_{i=1}^k T_{x_i}^{-1}(a_i, b_i) \subseteq U$ , where  $T_{x_i} \in X''$  and  $T_{x_i}(l) = l(x_i)$ .

$C := \bigcap_{i=1}^k T_{x_i}^{-1}(a_i, b_i)$  is a convex open set containing the origin: For any  $l_1, l_2 \in C$ ,  $0 \leq t \leq 1$ ,  $T_{x_i}(tl_1 + (1-t)l_2) = tT_{x_i}(l_1) + (1-t)T_{x_i}(l_2) < tb_i + (1-t)b_i = b_i$ . Similarly  $T_{x_i}(tl_1 + (1-t)l_2) > a_i$ . So  $tl_1 + (1-t)l_2 \in C$ .

**1.2 Exercise 2**

**(a)**

**Hausdorff:**

Let  $x, y$  be distinct points in  $X$ . WLOG, there exists  $r \in \mathbb{R}$  such that  $l_\alpha(x) < r < l_\alpha(y)$  for some linear functional  $l_\alpha$ . Then  $l_\alpha^{-1}(-\infty, r)$  and  $l_\alpha^{-1}(r, \infty)$  are disjoint open sets that contain  $x$  and  $y$  respectively.

**(i) Addition is continuous:**

Consider  $f : X \times X \rightarrow X$ ,  $f(x, y) = x + y$ .

$$\begin{aligned} f^{-1}(l_\alpha^{-1}(a, b)) &= \{(x, y) \in X \times X \mid a < l_\alpha(x + y) < b\} \\ &= \{(x, y) \in X \times X \mid a < l_\alpha(x) + l_\alpha(y) < b\} \end{aligned}$$

Remaining proof similar to weak topology case.

The proof for (ii) Multiplication by scalars is continuous, and (iii) 0 has a convex basis is also similar to weak topology case.

(b)

( $\Leftarrow$ ) Suppose  $l = \sum_{i=1}^k c_i l_i$  is a finite linear combination. Let  $(a, b)$  be an open interval in  $\mathbb{R}$ .

$$\begin{aligned} l^{-1}(a, b) &= \{x \in X \mid a < \sum_{i=1}^k c_i l_i(x) < b\} \\ &= \bigcup_i \{x \in X \mid m_i < l_i(x) < M_i \text{ for } 1 \leq i \leq k\} \end{aligned}$$

such that  $a \leq \sum_{i=1}^k c_i m_i < \sum_{i=1}^k c_i l_i(x) < \sum_{i=1}^k c_i M_i \leq b$ .

$$\begin{aligned} \{x \in X \mid m_i < l_i(x) < M_i \text{ for } 1 \leq i \leq k\} &= \bigcap_{i=1}^k \{x \in X \mid m_i < l_i(x) < M_i\} \\ &= \bigcap_{i=1}^k l_i^{-1}(m_i, M_i) \end{aligned}$$

which is open. Therefore  $l^{-1}(a, b)$  is open and thus  $l$  is continuous.

( $\Rightarrow$ ) Assume  $l$  is continuous.

**Lemma 1.1.** There exists  $l_1, \dots, l_n$  such that  $\bigcap_{i=1}^n \ker(l_i) \subseteq \ker(l)$ .

*Proof.* Consider  $l^{-1}(-\epsilon, \epsilon)$  which is open. Since  $\{l_{\alpha_i}^{-1}(-\delta_i, \delta_i)\}$  forms a subbasis for the topology,  $l^{-1}(-\epsilon, \epsilon)$  is the union of finite intersections  $\bigcap_{i=1}^n l_i^{-1}(-\delta_i, \delta_i)$ .

Let  $x \in \bigcap_{i=1}^n \ker(l_i)$ . Then  $l_i(x) = 0$  for all  $i$ .

$x \in \bigcap_{i=1}^n l_i^{-1}(-\delta_i, \delta_i) \subseteq l^{-1}(-\epsilon, \epsilon)$ . Thus  $-\epsilon < l(x) < \epsilon$ . Since  $\epsilon > 0$  is arbitrary,  $l(x) = 0$ , thus  $x \in \ker(l)$ .  $\square$

**Lemma 1.2.** If  $\bigcap_{i=1}^n \ker(l_i) \subseteq \ker(l)$ , then  $l$  is a finite linear combination of the  $l_i$ .

*Proof.* Consider  $F : X \rightarrow \mathbb{R}^n$  given by  $F(x) = (l_1(x), l_2(x), \dots, l_n(x))$ . We have an induced isomorphism  $\tilde{F} : X/\ker F \rightarrow \text{Im}(F)$ .

Since  $\bigcap_{i=1}^n \ker l_i = \ker F \subseteq \ker l$ , we have an induced linear functional  $\tilde{l} : X/\ker F \rightarrow \mathbb{R}$ , and can pull that back to  $\text{Im}(F)$  as  $\hat{l} := \tilde{l} \circ \tilde{F}^{-1}$ . We can extend  $\hat{l}$  to all of  $\mathbb{R}^n$  (extend a basis of  $\text{Im}(F)$  to a basis of  $\mathbb{R}^n$ , and choose

arbitrary values, e.g. 0, on the basis vectors not in  $\text{Im}(F)$ ). Thus there is a linear functional  $\phi : \mathbb{R}^n \rightarrow \mathbb{R}$  with

$$\phi \circ F = \phi|_{\text{Im}(F)} \circ F = \hat{l} \circ F = \tilde{l} \circ \tilde{F}^{-1} \circ F = \tilde{l} \circ \pi = l,$$

where  $\pi : X \rightarrow X/\ker F$  is the canonical projection.

Every linear functional  $\mathbb{R}^n \rightarrow \mathbb{R}$  can be written as a linear combination of the component projections, so there are  $c_1, \dots, c_n$  with

$$\phi(u_1, u_2, \dots, u_n) = \sum_{k=1}^n c_k u_k,$$

thus this means  $l(x) = \phi(F(x)) = \sum_{k=1}^n c_k l_k(x)$  for all  $x \in X$ . Thus  $l = \sum_{k=1}^n c_k l_k$ .  $\square$

### 1.3 Exercise 3

Let  $f : X \times Y \rightarrow W$  be a continuous function, where  $(X, \mathcal{T}_1)$ ,  $(Y, \mathcal{T}_2)$ ,  $(W, \mathcal{T}_3)$  are topological spaces. Define  $g : X \rightarrow W$ ,  $g(a) = f(a, b_0)$  for fixed  $b_0 \in Y$ .

Let  $a \in X$  and let  $V$  be an open set in  $W$  containing  $g(a) = f(a, b_0)$ . Since  $f$  is continuous, there is an open set  $U$  in  $X \times Y$  such that  $(a, b_0) \in U$  and  $f(U) \subseteq V$ . We may take  $U = A \times B$ , where  $A$  is open in  $X$ ,  $B$  is open in  $Y$ .

$a \in A$  since  $(a, b_0) \in A \times B$ . Also,  $g(A) = f(A, b_0) \subseteq f(A \times B) = f(U) \subseteq V$ . Thus  $g$  is continuous.

### 1.4 Exercise 4

Let  $K$  be a convex subset of a LCT linear space  $X$ . Let  $x, y \in \overline{K}$ , and  $0 \leq t \leq 1$ . Every open set containing  $x$  (resp.  $y$ ) contains a point of  $K$ .

Define  $w = tx + (1-t)y$ . Let  $W$  be an arbitrary open set containing  $w$ . If  $t = 0$ , then  $w = y \in \overline{K}$ . If  $t = 1$ , then  $w = x \in \overline{K}$ . Thus we may assume  $0 < t < 1$ .

$$x = \frac{1}{t}w - \left(\frac{1-t}{t}\right)y \in \frac{1}{t}W - \left(\frac{1-t}{t}\right)y$$

which is open since it is the scaling and translation of  $W$ . Thus  $\frac{1}{t}w - (\frac{1-t}{t})y$  contains a point  $k_1 \in K$ . We have  $tk_1 + (1-t)y \in W$ .

Similarly,  $y \in (\frac{1}{1-t})W - (\frac{t}{1-t})k_1$  which is open. Thus  $(\frac{1}{1-t})W - (\frac{t}{1-t})k_1$  contains a point  $k_2$  of  $K$ . Then  $(1-t)k_2 + tk_1 \in W \cap K$ .

Thus any open set containing  $w$  contains a point in  $K$ , hence  $w \in \overline{K}$ . Therefore  $\overline{K}$  is convex.

## 2 Chapter 15

### 2.1 Exercise 2

Let  $l \in U'$ . Note that  $M'l \in X'$ , thus

$$\begin{aligned} \lim_{n \rightarrow \infty} l(Mx_n) &= \lim_{n \rightarrow \infty} M'l(x_n) \\ &= M'l(x) \quad (\text{since } x_n \xrightarrow{w} x) \\ &= l(Mx). \end{aligned}$$

Thus  $Mx_n$  converges weakly to  $Mx$ . Note that this method does not use the fact that  $U$  is reflexive.

#### Method 2:

Let  $M$  be a bounded linear map:  $X \rightarrow U$ . Let  $x_n$  be a sequence in  $X$  weakly convergent to  $x$ , i.e.  $\lim_{n \rightarrow \infty} l(x_n) = l(x)$  for every  $l$  in  $X'$ . Denote  $\hat{u}$  to be the image of  $u$  under the canonical embedding of  $U$  into  $U''$ , where  $\hat{u}(f) = f(u)$  for all  $f \in U'$ .

**Lemma 2.1.**  $M' : U' \rightarrow X'$  is weak\* continuous, where  $M'$  is the transpose of  $M$ .

*Proof.* Let  $\hat{x}^{-1}(V)$  be open in  $X'$ , where  $V$  is open in the base field  $\mathbb{R}$  or  $\mathbb{C}$ , and  $\hat{x}$  is the image of  $x$  under the canonical embedding.

$$\begin{aligned} M'^{-1}(\hat{x}^{-1}(V)) &= \{f \in U' \mid M'(f) \in \hat{x}^{-1}(V)\} \\ &= \{f \in U' \mid fM \in \hat{x}^{-1}(V)\} \\ &= \{f \in U' \mid \hat{x}(fM) \in V\} \\ &= \{f \in U' \mid f(Mx) \in V\} \\ &= \{f \in U' \mid \widehat{Mx}(f) \in V\} \\ &= \widehat{Mx}^{-1}(V) \end{aligned}$$

which is open in  $U'$ . □

**Corollary 2.2.** Similarly,  $M'' : X'' \rightarrow U''$  is weak\* continuous.

**Lemma 2.3.**  $M''\hat{x} = \widehat{Mx}$ .

*Proof.* For any  $f \in U'$ ,

$$\begin{aligned}(M''\hat{x})f &= \hat{x}(M'f) \\ &= M'f(x) \\ &= f(Mx) \\ &= \widehat{Mx}(f).\end{aligned}$$

□

Note that  $x_n \xrightarrow{w} x$  implies  $\lim_{n \rightarrow \infty} \hat{x}_n(l) = \hat{x}(l)$  for all  $l \in X'$ . This means  $\hat{x}_n \xrightarrow{w*} \hat{x}$ . Since  $M''$  is weak\* continuous,

$$\widehat{Mx_n} = M''\hat{x}_n \xrightarrow{w*} M''\hat{x} = \widehat{Mx}$$

in  $U''$ .

Since  $U$  is reflexive, weak\* convergence is the same as weak convergence, so  $Mx_n \xrightarrow{w} Mx$  as required.

## 2.2 Exercise 3

Denote by  $I$  the identity map  $X \rightarrow X$ . Consider the transpose of  $I$ ,  $I' : X' \rightarrow X'$ .

Let  $l \in X', x \in X$ .

$$(I'l)x = l(Ix) = l(x).$$

Therefore  $I'l = l$ .

## 2.3 Exercise 4

(i)

Let  $X, Y$  be complex Hilbert spaces. Let  $M : X \rightarrow Y$  be a bounded linear transformation. Let  $M^* : Y \rightarrow X$  be the adjoint of  $M$ , such that  $\langle Mx, y \rangle = \langle x, M^*y \rangle$  for all  $x \in X, y \in Y$ .



Let  $x \in X$  such that  $\|x\| \leq 1$ . Then

$$\begin{aligned}
\|Mx\|^2 &= \langle Mx, Mx \rangle_Y \\
&= \langle x, M^*Mx \rangle_X \\
&\leq \|x\| \|M^*Mx\| \quad (\text{Cauchy-Schwarz Inequality}) \\
&\leq \|x\| \|M^*M\| \|x\| \\
&\leq \|M^*M\| \|x\| \quad (\text{since } \|x\| \leq 1) \\
&\leq \|M^*M\| \\
&= \sup_{\|x\|=1} \|M^*Mx\| \\
&\leq \sup_{\|x\|=1} \|M^*\| \|Mx\| \\
&= \|M^*\| \|M\|
\end{aligned}$$

Thus  $\|M\|^2 = \sup_{\|x\| \leq 1} \|Mx\|^2 \leq \|M^*\| \|M\|$ . Therefore  $\|M\| \leq \|M^*\|$ .

In Hilbert space,  $M^{**} = M$  since  $\langle Mx, y \rangle = \langle x, M^*y \rangle = \langle M^{**}x, y \rangle$  for all  $x \in X, y \in Y$ . Thus, replacing  $M$  by  $M^*$  in the above working yields  $\|M^*\| \leq \|M\|$ .

Therefore  $\|M\| = \|M^*\|$ .

(ii)

Let  $y \in N_{M^*}$ .  $M^*y = 0$ . Hence  $\langle x, M^*y \rangle = 0 = \langle Mx, y \rangle$  for all  $x \in X$ . This means  $y \in R_M^\perp$ .

Let  $y \in R_M^\perp$ .  $\langle Mx, y \rangle = 0 = \langle x, M^*y \rangle$  for all  $x \in X$ . This means  $M^*y = 0$ , thus  $y \in N_{M^*}$ .

We have proved  $N_{M^*} = R_M^\perp$ .

(iii)

Replace  $M$  by  $M^*$  in the above, and using the fact that  $M^{**} = M$ , we get  $N_M = R_{M^*}^\perp$ .

(iv)

$$\begin{aligned}
\langle x, (M + N)^* y \rangle &= \langle (M + N)x, y \rangle \\
&= \langle Mx + Nx, y \rangle \\
&= \langle Mx, y \rangle + \langle Nx, y \rangle \\
&= \langle x, M^* y \rangle + \langle x, N^* y \rangle \\
&= \langle x, (M^* + N^*) y \rangle
\end{aligned}$$

for all  $x \in X, y \in Y$ . Thus,  $\langle x, (M + N)^* y - (M^* + N^*) y \rangle \equiv 0$  which implies that  $(M + N)^* y - (M^* + N^*) y \equiv 0$  thus  $(M + N)^* = M^* + N^*$ .

## 2.4 Exercise 6

Assume  $M_n$  converges to  $M$  weakly. Then  $\lim_{n \rightarrow \infty} (M_n x, l) = (Mx, l)$  for all  $l \in U'$  and all  $x \in X$ .

Thus  $\lim_{n \rightarrow \infty} (x, M'_n l) = (x, M' l)$  for all  $l \in U', x \in X$ .

Let  $\phi \in X''$ . Since  $X$  is reflexive,  $\phi = \hat{x}$  for some  $\hat{x} \in X''$ . Then

$$\begin{aligned}
\lim_{n \rightarrow \infty} \hat{x}(M'_n l) &= \lim_{n \rightarrow \infty} M'_n l(x) \\
&= \lim_{n \rightarrow \infty} (x, M'_n l) \\
&= (x, M' l) \\
&= M' l(x) \\
&= \hat{x}(M' l).
\end{aligned}$$

Therefore  $M'_n$  converges to  $M'$  weakly.

## 2.5 Exercise 7

(a)

Let  $D$  be dense in  $X$  such that  $s\text{-}\lim M_n x$  exists. Then for  $d \in D$ ,  $\{M_n d\}$  is Cauchy. There exists  $N \in \mathbb{N}$  such that for all  $n, m \geq N$ ,  $\|M_n d - M_m d\| < \epsilon$ .

Let  $x \in X$ . There exists  $d \in D$  such that  $\|x - d\| < \epsilon$ . For  $n, m \geq N$ ,

$$\begin{aligned}
\|M_n x - M_m x\| &= \|M_n(x + d - d) - M_m(x + d - d)\| \\
&= \|M_n d + M_n(x - d) - M_m d - M_m(x - d)\| \\
&\leq \|M_n d - M_m d\| + \|M_n(x - d)\| + \|M_m(x - d)\| \\
&< \epsilon + \|M_n\| \|x - d\| + \|M_m\| \|x - d\| \\
&< \epsilon + c\epsilon + c\epsilon.
\end{aligned}$$

Since  $\epsilon > 0$  is arbitrary,  $\{M_n x\}$  is Cauchy thus converges since  $U$  is a Banach space, i.e. there exists a limit  $M$  such that  $\lim_{n \rightarrow \infty} \|M_n x - Mx\| = 0$ , for all  $x$ .

(b)

**Theorem 2.4.** Let  $X, U$  be Banach spaces,  $M_n$  a sequence of linear maps:  $X \rightarrow U$ , uniformly bounded in norm:

$$\|M_n\| \leq c \quad \text{for all } n.$$

Suppose further that  $w - \lim M_n x$  exists for a dense set of  $x$  in  $X$ . Then  $\{M_n\}$  converges weakly, i.e. the  $w$ -limit exists for all  $x$  in  $X$ .

*Proof.* Let  $D$  be the dense set in  $X$  such that  $w - \lim M_n x$  exists, i.e.

$$\lim_{n \rightarrow \infty} |l(M_n d) - l(Md)| = 0$$

for all  $d \in D, l \in U'$ . Then,  $\{l(M_n d)\}$  is Cauchy, so there exists  $N \in \mathbb{N}$  such that for  $n, m \geq N$ ,

$$|l(M_n d) - l(M_m d)| < \epsilon.$$

Let  $x \in X$ . There exists  $d \in D$  such that  $\|x - d\| < \epsilon$ . For  $n, m \geq N$ ,

$$\begin{aligned} |l(M_n x) - l(M_m x)| &= |l(M_n d) + l(M_n(x - d)) - l(M_m d) - l(M_m(x - d))| \\ &\leq |l(M_n d) - l(M_m d)| + |l(M_n(x - d))| + |l(M_m(x - d))| \\ &< \epsilon + \|l\| \|M_n\| \|x - d\| + \|l\| \|M_m\| \|x - d\| \\ &\leq \epsilon + \|l\| c \epsilon + \|l\| c \epsilon \end{aligned}$$

Since  $\epsilon > 0$  is arbitrary and  $\|l\| < \infty$ ,  $\{l(M_n x)\}$  is Cauchy in  $\mathbb{R}$  or  $\mathbb{C}$  and thus converges. Thus  $\{M_n\}$  converges weakly.  $\square$

### Exercise 11

Assume that the range  $R_M$  is a finite-codimensional subspace of  $U$ , i.e.  $\text{codim}(R_M) = \dim(U/R_M) < \infty$ .

$\ker M = M^{-1}\{0\}$  is a closed subspace, thus  $X/\ker M$  is a Banach space.

$$\overline{M} : X/\ker M \rightarrow U$$

defined by

$$\overline{M}(x + \ker M) = M(x)$$

is an injective bounded linear operator. We note that  $R_M = M(X) = \overline{M}(X/\ker M)$ .

Choose a basis  $f_1, \dots, f_n$  of  $U/R_M$  and consider the operator

$$S : X/\ker M \oplus \mathbb{R}^n \rightarrow U = R_M \oplus U/R_M$$

$$S(\bar{x}, r) = \overline{M}(\bar{x}) + \sum_{i=1}^n r_i f_i.$$

We observe that  $S$  is a continuous bijection, hence a homeomorphism by the open mapping theorem.  $R_M = S(X/\ker M \oplus 0)$  is the image of a closed subspace of  $X/\ker M$ , thus  $R_M$  is closed since  $S$  maps closed sets onto closed sets.